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TO: Examiner Kimberly Eaton / Group Art 2161
U.S. Patent and Trademark Office

FROM: Ariel S. Rogson

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Re: SYSTEM AND METHOD FOR PROCESSING TOKENLESS BIOMETRIC
ELECTRONIC TRANSMISSIONS USING AN ELECTRONIC RULE MODULE
CLEARINGHOUSE
Serial No. 09/398,914 filed September 16, 1999
Our Docket No. 8514-25

Dear Examiner Eaton:

Following up on our telephone conversation this morning, attached is a copy of our Preliminary Amendment on the above-referenced patent application. Please contact me if you have any questions.

Ariel Rogson

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PATENT APPLICATION
Attorney's Do. No. 8514-25 (STA-21)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Ned Hoffman and Philip D. Lapsley

Serial No.: 09/398,914

Group Art Unit: 2161

Filed: September 16, 1999

Examiner Cosimano

For: SYSTEM AND METHOD FOR PROCESSING
TOKENLESS BIOMETRIC ELECTRONIC
TRANSMISSIONS USING AN ELECTRONIC
RULE MODULE CLEARINGHOUSE

Box Non Fee Amendment
Assistant Commissioner for Patents
Washington, D.C. 20231

Enclosed is a Preliminary Amendment in the above-identified application.

The fee has been calculated as shown below.

CLAIMS AS AMENDED					
For:	Number After Amendment	Previous Number	Extra	Rate	Additional Fee
Total Claims	25	-25*	0	x \$9 =	\$ 0
Independent Claims	3	-3*	0	x \$42 =	\$ 0
TOTAL ADDITIONAL FEE FOR THIS AMENDMENT					\$ 0

*greater of twenty (20) or number for which fee has been paid
**greater if three (3) or number for which fee has been paid

- ☒ No additional fee is due.
☒ Any deficiency or overpayment should be charged or credited to deposit account
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20575

PATENT TRADEMARK OFFICE

Respectfully submitted,

MARGER JOHNSON & MCCOLLOM, P.C.

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Philip D. Lapsley

PATENT APPLICATION
Attorney's Do. No. 8514-25

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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ON: 26/05/2001

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PRELIMINARY AMENDMENT

Preliminary to examination, please amend the application as follows:

In the specification:

Replace the indicated paragraphs as follows:

Page 17, paragraph starting at line 10, ending at line 18:

Tokenless biometric electronic transmissions are characterized by identifying the user with the user's bid biometric sample 62 submitted through a stand alone BIA 16 which is directly connected via dial-up, leased lines or wireless modem 56 to the Internet 18, or through a BIA 16 incorporated to any client terminal such as a desktop personal computer, a notebook computer, a thin-client, or other public terminal or kiosk 60 such as an Automated Teller Machine (ATM). In a preferred embodiment, the user is identified through biometrics while third-party databases 28 which are accessed to complete the electronic transmission are identified through the verification of a digital certificate issued by an authorized certifying authority.